## LA FOLLETTE GODFREY & KAHN

P.O. BOX 2719
MADISON, WI 53701-2719
www.gklaw.com

RECEIVED CENTRAL FAX CENTER SEP 1 4 2004

PHONE: 608-284-2613

FROM:

FAX: 608-257-0609

## FAX COVER SHEET

DATE: September 14, 2004 PAGES (INCLUDING COVER): 4

TO: Commissioner for Patents FAX: 703-872-9306

· · ·

Please see attached Transmittal Form for the following Patent Application:

Title : GLYCORANDOMIZATION & PRODUCTION OF NOVEL

VANCOMYCIN ANALOGS

Application No. : 10/670,073

Filing Date : 9/24/03

Inventor : THORSON, Jon Attorney Docket No. : 054030-0040

Sonali S. Srivastava Reg. No. 52,248

Entity : Small
Examiner : Unknown
Art Unit : 1645

This transmission includes a Power of Attorney document for the above-identified application.

IF YOU HAVE A PROBLEM RECEIVING THIS TRANSMISSION, PLEASE CALL US AS SOON AS POSSIBLE AT 608-257-3911.

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE. This message may be an attorney-client communication, and as such is privileged and confidential. If the reader of this message is not the intended recipient or an agent responsible for delivering it to the intended recipient, you are hereby notified that you have received this document in error, and that any review, dissemination, distribution or copying of this message is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us by mail. Thank you.

received on 9116104

LaFollette Godfrey & Kahn is an office of Godfrey & Kahn, S.C.

PTO/S9/21 (02-04)
Approved for use through 07/31/2008 OMB 0651-0031
U.S. Pittent and Trademark Office; U.S. DEPARTMENT OF COMMERCE of information unless it deselves a valid OMB control number Under the Paperwork Reduction Act of 1995, no passo Application Number 10/679,073 Filing Date RECEIVED TRANSMITTAL 09/24/2003 **FORM CENTRAL FAX CENTER** First Named Inventor THOR:SON, Jon Art Unit (to be used for all correspondence after initial filing) Examiner Name Unknown Attorney Docket Number 054030-0040 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmillal Form Drawing(s) to Tachnology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer** Identify below): Extension of Time Request Reducst for Refund Express Abandonment Request Statement Under 37 CFR 3.73(b) CD, Number of CD(s) Information Disclosure Statement Romarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual name Signature Date 9/14-04 CERTIFICATE OF TRANSMISSION/MAILING Thereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Luanno M. Connor Date This collection of information is required by 37 CFR 1.5. The information is required to inform a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is collimated to 2 hours to complete, including gathering, proparing, and submitting the completed application form to the USPTO. Time will very depending upon this individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Office. U.S. Palent and Trademark Office, U.S. Department of Commerce, P.O. Dox 1450, Alexandria, VA. 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\*Total of 1

PTO/SB/81 (06:04) Approved for use through 11/30/2005, OMB 0651-0035 U.S. Patent and Frademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Acr of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/670 073 10/670,073 Filing Date 09/24/2003 POWER OF ATTORNEY First Named Inventor THORSON, Jan Tillo GLYCORANDOMIZATION & PRODUCTION OF NOVEL CORRESPONDENCE ADDRESS VANCOMYCIN **Art Unit** 1645 INDICATION FORM Examinor Name ANALOGS Not Known Attorney Docket Number 054030-0040 Lhereby appoint: Practitioners associated with the Customer Number. 31096 Practitioner(s) named below: Namo Registration Number as mylour attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith. Please recognize or change the correspondence address for the above-identified application to: 0/ The address associated with the above-mentioned Customer Number: OR The address associated with Customer Number: Firm or Ir dividual Name Addross Address City Zip Country Telephone Fax I<u>am</u>the: Applicant/Inventor. 00 Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is analosed. (Form PTO/SR/96) SIGNATURE of Applicant or Assignce of Record (if assignce, put name, title and company name in the "Name" space below) Michael E. Falk, Director of Intellectual Property Signature Date Telephone NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required in obtain or return a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U 5 C 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, and authoriting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FRES OR COMPLETED FORMS TO THIS ADDRESS, GEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

forms are submitted

PTO/SB/96 (00-04)
Approved for use through 07/31/2006 OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unloss it displays a valid OMB control number

STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: THORSON, Jon
Application No./Patent No.: 10/670,073 Filed/Issue Date: September 24, 2003
Entitled: GLYCORANDOMIZATION AND PRODUCTION OF NOVEL VANCOMYCIN ANALOGS
Wisconsin Alumni Research Foundation (WARF) , a 501(c)(3) popprolit corporation (Type of Assignee, e.g., corporation, pathorship, university, government agency, etc.)
states that it is: 1. ☐ the assignee of the entire right, title, and interest; or
2.  an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is% in the patent application/patent identified above by virtue of either:
A. [~] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel015042, Framo_0300, or for which a copy thereof is attached.
OR
B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assigned as shown below
1. From: The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
2, From:
The document was recorded in the United States Patent and Trademark Office at Reel, or for which a copy thereof is attached.
3. From:
Reet, Frame, or for which a copy thereof is attached.
[ ] Additional documents in the chain of title are listed on a supplemental sheet.
[ ] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
9-14-04 Sonati S, Srivastava, Reg. No. 52,248
Date Typed or printed name
608-284-2613
Tolephone number Signature  Attorney for Assignee  Title
Tille

This collection of information is required by 37 CFR 3.70(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 manufes to complete, including pathering, preparing, and automitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SCND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

D BLACK BORDERS
Dimage cut off at top, bottom or sides
U faded text or drawing
D BLURRED OR ILLEGIBLE TEXT OR DRAWING
O skewed/slanted images
COLOR OR BLACK AND WHITE PHOTOGRAPHS
GRIY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.